

Virtual Event | December 9, 2021

Trading and Best Execution Summit – Canada

Substantive and in-depth discussion of the business, technological, and regulatory developments impacting trading and best execution.



Agenda

December 9, 2021 – Virtual

- 10:00am-10:45am** **Fireside Chat – Discussion with OSC and IIROC on Regulatory and Policy Developments Impacting Trading and Best Execution**
- Analysis of the most significant policy and regulatory changes impacting institutional investors relating to trading and market structure
 - Discussion of challenges for regulators in overseeing the markets
- Kevin McCoy**
VP, Market Policy and Trading Conduct Compliance
IIROC
- Tracey Stern**
Manager, Market Regulation
Ontario Securities Commission
- 10:45am-11:30am** **Advancements in Execution Tools and Services in Equities - Bringing Competition to the Canadian Markets**
- Examination of new trade execution tools and services to assist institutional investors
 - How to best use algorithms and other technology-driven trading tools
 - Addressing conflicts of interest in order routing and order execution
 - Discussion of competition among exchanges
- Ari Burstein, Moderator**
Founder, Trading Evolved
General Counsel and Chief Policy Officer, Imperative Execution
- Rizwan Awan**
President, Equities Trading and Head of TMX Markets, Products & Services
TMX Group
- Nitin Gambhir**
CEO & Head of Quantitative Research
Tethys Technology
- Eric Stockland**
Managing Director, Electronic Trading
BMO Capital Markets

11:30am-12:15pm

Developments in ETF Trading and Market Structure - Specific Issues to Consider for Institutional Investors

- Innovations in trading platforms and trading tools and how to trade ETFs more efficiently
- How institutional investors are utilizing ETFs
- Evaluating the ETF trade and metrics for gauging liquidity

Alex Perel, Moderator

Director, Head of ETF Services

Scotiabank Global Banking & Markets

Chris Heakes

Director, Portfolio Manager

BMO Global Asset Management

Graham MacKenzie

Head of Exchange Traded Products

Toronto Stock Exchange

Kimberly Russell

Vice President, Market Structure Specialist

State Street Global Advisers

12:15pm-1:00pm

The Rise of Retail - How Market Participants Are and Should be Adapting

- Examination of the role of retail investing
- Providing better access for retail to private markets
- Examination of how market participants are adjusting to the differing types of investors

Richard Carleton, Moderator

CEO

Canadian Securities Exchange

Dave Johnsen

Head of Electronic Trading, Equities & Fixed Income

Scotiabank

Tara Kennedy

VP, Head of Trading

Wealthsimple

Kevin Paul Utarid

Vice President, Sales

Nasdaq Canada

Jeff Varey

Vice President, Wealth Management Equity Markets

RBC Dominion Securities

1:00pm-1:30pm

Keynote Address

Fireside Chat - “How Culture Underpins a Successful Business”

Mark Shapiro

President & CEO

Toronto Blue Jays

Duane Green

President and CEO

Franklin Templeton Canada

1:30pm-2:15pm

Managing Data Around Order Routing and Execution – An Examination of Developments Around Transaction Cost Analysis (TCA)

- Challenges to measuring and analyzing execution quality and trading performance
- Tools and services available for the buy-side to more easily analyze and consume trading data
- Impact of recent transparency initiatives

Ari Burstein, Moderator

Founder, Trading Evolved

General Counsel and Chief Policy Officer, Imperative Execution

Miles Huffman

Global Head of Transaction Cost Analysis

Vanguard

Kevin O’Connor

Head of Analytics and Workflow Solutions

Virtu Financial

Evan Young

CEO and Co-Founder

Spacetime.io

2:15pm-3:00pm

Trading Digital Assets, Crypto and Bitcoin – Examining the Future Considerations for Institutional Investors

- Factors institutional investors should consider when trading digital assets
- Discussion of key innovations in trading platforms and trading tools
- How to consider best execution when trading

Dave Weisberger, Moderator

Co-Founder and CEO

CoinRoutes

Elliot Johnson

Chief Investment Officer and Chief Operating Officer

Evolve ETFs

Martin Pizel

CEO
Coinsquare

Vlad Tasevski

Chief Operating Officer & Head of Product
Purpose Investments

3:00pm-3:45pm

Fireside Chat - What Lessons Can/Should Canada Learn from the US Market Structure Experience

- Discussion of approaches to consolidated tape, market data, market fragmentation and addressing conflicts of interest
- How trading and market structure reforms impact Canada
- Addressing operational resiliency and investor confidence in the markets

Peter Haynes, Moderator

Managing Director, Index Products
TD Securities

Roman Ginis

CEO
Imperative Execution

Mehmet Kinak

Global Head of Systematic Trading and Market Structure
T. Rowe Price

Sapna Patel

Head of Americas Market Structure and Liquidity Strategy
Morgan Stanley

Timothy Reilly

Head of Sales & Relationship Management
New York Stock Exchange